

## **Prevention of Market Abuse**

- 1. Introduction to Market Abuse
  - 1.1 Definition of Market Abuse
  - 1.2 Overview of SEBI's Role in Regulating Market Abuse
- 2. Types of Market Abuse
  - 2.1 Front Running
  - 2.2 Insider Trading
  - 2.3 Market Manipulation
    - 2.3.1 Pump & Dump
    - 2.3.2 Spoofing & Layering
    - 2.3.3 Wash Trading
    - 2.3.4 Circular Trading
  - 2.4 Fraudulent Transactions
  - 2.5 Algo Trading
- 3. Prevention and Compliance Measures
  - 3.1 Internal Controls and Monitoring
  - 3.2 Reporting
  - 3.3 Whistleblower Mechanism
  - 3.4 SEBI's power to investigate
  - 3.5 Penalties
- 4. Final Assessment